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• FORM 98-3

Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 75329/77432	SERIAL NO. 09/617,868
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Thomas J. Shaw	
	FILING DATE July 17, 2000	GROUP 3763

U.S. PATENT DOCUMENTS

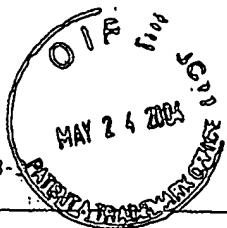
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
KCS		WO 96/32981	10/24/96	PCT	A61M	25/06, 5/32	X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Karmon</i>	DATE CONSIDERED <i>12/22/05</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM 98-

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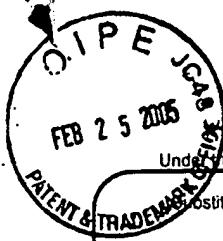
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APPENDIX B EXEMPTED DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

(Name, Address, Date, Permanent Pages, Etc.)

EXAMINER <i>Serrone</i>	DATE CONSIDERED 12/22/05
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PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	09/617,868
Filing Date	July 17, 2000
First Named Inventor	SHAW, Thomas J.
Art Unit	3763
Examiner Name	Sirmons, Kevin C.
Attorney Docket Number	75329/77432

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
					T	1
KCS	1	JP H06-508768	10-06-1994	Safe T Ltd.		
	2	JP H02-504114	11-29-1990	Gaarde et al.		
	3	WO 95/11713	05-04-1995	Lok-Needle		
	4	JP H07-47123	02-21-1995	Kararuto Batore		
↓	5	CH 669910 A5	04-28-1989	Ritzi		

**Examiner
Signature**

Sirmons

Date Considered

12/22/05

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

Application Number	09/617,868
Filing Date	July 17, 2000
First Named Inventor	Shaw, Thomas J.
Art Unit	3763
Examiner Name	Sirmons, Kevin C.

Attorney Docket Number 75329/77432

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
KC5	1	Memorandum Opinion dated March 2, 2004, Retractable Technologies, Inc. et al. v. New Medical Technology, Inc. et al., cont.	
		No. 4:02-CV-34, U.S. District Court Eastern District, Sherman Division	
	2	Plaintiff Retractable Technologies, Inc. and Third-Party Defendant Thomas J. Shaw's Markman Brief dated February 28, 2003, cont.	
		Retractable Technologies, Inc. et al. v. New Medical Technology, Inc. et al., No. 4:02-CV-34, U.S. District Court Eastern District, Sherman Division	
	3	Defendants' Markman Brief dated March 28, 2003, Retractable Technologies, Inc. et al. v. New Medical Technology, Inc. et al., No. 4:02-CV-34, U.S. District Court, cont.	
		Eastern District, Sherman Division	
	4	Plaintiffs' Markman Reply Brief dated April 14, 2003, Retractable Technologies, Inc. et al. v. New Medical Technology, Inc. et al., No. 4:02-CV-34, U.S. District Court, cont.	
		Eastern District, Sherman Division	
	5	Defendants' Final Invalidity Contentions dated April 21, 2004, Retractable Technologies, Inc. et al. v. New Medical Technology, Inc. et al., No. 4:02-CV-34, cont.	
↓		U.S. District Court Eastern District, Sherman Division	

Examiner Signature	<i>Darvin C. Sirmons</i>	Date Considered
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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